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PTO/SB/29 (1/98)

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	LUM-9390-1D																												
	First Named Inventor or Application Identifier	Carrie Carter Coman																												
	Title	III-Nitride Light Emitting Devices Fabricated By Substrate Removal																												
	Express Mail Label No.	EV 325214610 US																												
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450																												
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form.</i> <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. Application:</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Specification: (preferred arrangement set forth below) Descriptive title of the Invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling 5 pages) Appendix(ces) ___, ___, &amp; ___ ( 8 pages)</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Claim(s) 3 pages</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Abstract of the Disclosure 1 page</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 5]</p> <p>4. Oath or Declaration <input type="checkbox"/> unsigned [Total Pages 2]</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small></p> <p style="margin-left: 20px;">c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		<p>6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of ___ pages of microfiche containing ___ frames on each page in accompanying envelope.</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small></p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Copy</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents) 2 pages</p> <p>9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(combined when there is an _____ with Patent Declaration above.)</small></p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> FORM PTO-1449 Citing 29 References (2 pages). The cited references are not enclosed because they were filed in the parent application no. 09/245,503, filing date February 5, 1999</p> <p>12. <input type="checkbox"/> Preliminary Amendment ___ pages</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(should be specifically itemized)</small></p> <p>14. Small Entity Status</p> <p style="margin-left: 20px;"><input type="checkbox"/> Small Entity Statement Enclosed ___ pages</p> <p style="margin-left: 20px;"><input type="checkbox"/> Statement filed in prior application; and status still proper and desired</p> <p style="margin-left: 20px;"><input type="checkbox"/> Is no longer claimed.</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Other: <input type="checkbox"/> <input type="checkbox"/></p>																												
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:</p> <p style="margin-left: 20px;"><input type="checkbox"/> Continuation-in-part <input checked="" type="checkbox"/> Divisional of prior application No. 09/245,503 filed on February 5, 1999, entitled: <u>Indium Aluminum Gallium Nitride Optical Emitters Fabricated Via Substrate Removal.</u></p> <p>PRIOR APPLICATION INFORMATION: <u>Examiner B. William Baumeister</u> <u>Group Art Unit 2815</u></p>																														
<p><b>18. CORRESPONDENCE ADDRESS</b></p> <p><input checked="" type="checkbox"/> Customer Number or Bar Code Label  or <input checked="" type="checkbox"/> Correspondence address below</p> <p style="text-align: center;">32566 PATENT TRADEMARK OFFICE</p> <table style="width: 100%;"><tr><td style="width: 15%;">Name</td><td style="width: 35%;">Rachel V. Leiterman</td><td style="width: 15%;">Reg. No.</td><td style="width: 35%;">46,868</td></tr><tr><td>Attorneys for Applicant</td><td>Patent Law Group LLP</td><td></td><td></td></tr><tr><td>Address</td><td colspan="3">2635 North First St., Suite 223</td></tr><tr><td>City</td><td>San Jose</td><td>State</td><td>CA</td></tr><tr><td></td><td></td><td>Zip Code</td><td>95134</td></tr><tr><td>Country:</td><td>United States</td><td>Telephone</td><td>(408) 382-0480</td></tr><tr><td></td><td></td><td>Fax</td><td>(408) 382-0481</td></tr></table>			Name	Rachel V. Leiterman	Reg. No.	46,868	Attorneys for Applicant	Patent Law Group LLP			Address	2635 North First St., Suite 223			City	San Jose	State	CA			Zip Code	95134	Country:	United States	Telephone	(408) 382-0480			Fax	(408) 382-0481
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### 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
15	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$0.00
1	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$84	=	\$0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$280.00	=	
						BASIC FEE (37 CFR 1.16(a))	=	\$750.00
						Total of above Calculations	=	0.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=	
						TOTAL	=	\$750.00

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20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **502226:**

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☒ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

### 22. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

☐ New correspondence address below

NAME					
ADDRESS					
CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

### 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Patent Law Group LLP  
2635 North First St., Suite 223  
San Jose, CA 95134  
Tel. (408) 382-0480 Fax. (408) 382-0481

Date:		
Name	Rachel V. Leiterman	Reg. No. 46,868
Signature		
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